



WHARTON COUNTY TREASURER INVESTMENT REPORT
 QUARTER ENDING SEPTEMBER 30, 2019

Callable	Issue Date	Issuer	Maturity Date	Payment Frequency	Price	Rate	Fund	SafeKeep Location	Settle Date	Trade Amount
No	5/9/2018	West Point Bank	11/8/2019	Semi Annual	100.000	2.350%	DRAINAGE	PROSPERITY PRIMA	5/9/2018	247000.00
No	3/15/2016	TEXASGULF	3/15/2021	Monthly	100.000	2.030%	DRAINAGE	WHARTON COUNTY	3/15/2016	150000.00
Yes	6/17/2016	JPMORGAN CHASE BK NA	6/17/2021	Quarterly	99.690	1.700%	DRAINAGE	MBS	6/17/2016	45,000.00
No	3/24/2017	BMW BK NORTH AMER	3/24/2022	Semi Annual	101.080	2.250%	DRAINAGE	MBS	3/24/2017	150000.00
Yes	6/7/2019	ENVISION CR UN	6/7/2022	Monthly	100.520	2.550%	DRAINAGE	MBS	6/7/2019	247000.00
No	6/14/2019	Pinnacle Bank	3/16/2020	At Maturity	100.000	2.450%	Drainage Fund	UBS	6/14/2019	245000.00
TOTAL DRAINAGE FUND										\$ 1,084,000.00
No	5/23/2018	CUSTOMERS BK	11/25/2019	Semi Annual	100.071	2.400%	GENERAL	MBS	5/23/2018	247000.00
No	6/9/2016	VALLIANCE BK	6/9/2020	Monthly	99.697	1.350%	GENERAL	MBS	6/9/2016	101000.00
No	6/10/2016	ALMA BK ASTORIA NEW	6/10/2020	Monthly	99.655	1.300%	GENERAL	MBS	6/10/2016	140000.00
No	6/10/2016	MB FINL BK N A	6/10/2020	Monthly	99.703	1.350%	GENERAL	MBS	6/10/2016	228000.00
No	2/19/2016	KS STATEBANK	8/19/2020	Monthly	100.203	1.550%	GENERAL	MBS	2/19/2016	247000.00
No	11/25/2011	Goldman Sachs Bank USA	11/25/2020	Semi Annual	100.000	2.300%	GENERAL	UBS	12/3/2015	235000.00
No	2/10/2016	LIVE OAK BKG CO	12/10/2020	Monthly	100.407	1.600%	GENERAL	MBS	2/10/2016	247000.00
No	2/6/2019	FIRST TECHNOLOGY FED	2/8/2021	Monthly	101.682	3.050%	General	MBS	2/6/2019	249000.00
No	2/12/2016	ENTERPRISE BK	2/12/2021	Monthly	99.814	1.650%	General	MBS	2/12/2016	247000.00
No	2/12/2016	EVERBANK	2/12/2021	Semi Annual	99.880	1.700%	GENERAL	MBS	2/12/2016	247000.00
Yes	6/17/2016	JPMORGAN CHASE BK NA	6/17/2021	Quarterly	99.690	1.700%	GENERAL	MBS	6/17/2016	55,000.00
No	5/7/2019	MARLIN BUSINESS BK	11/8/2021	Monthly	101.337	2.450%	GENERAL	MBS	5/7/2019	75000.00
No	2/7/2019	ALLY BK SANDY UTAH	2/7/2022	Semi Annual	102.404	2.850%	GENERAL	MBS	2/7/2019	246000.00
Yes	2/28/2017	BMO HARRIS BK NATL	2/28/2022	Quarterly	99.969	2.000%	GENERAL	MBS	2/28/2017	62000.00
Yes	3/30/2017	The First National Bank of Berli	3/30/2022	Monthly	100.000	2.200%	GENERAL	UBS	5/8/2017	176000.00
No	5/3/2018	Comenity Bank	5/3/2022	Quarterly	100.000	2.900%	GENERAL	UBS	5/3/2018	200000.00
No	5/17/2017	CAPITAL ONE NATL	5/17/2022	Semi Annual	101.521	2.400%	GENERAL	MBS	5/17/2017	250000.00
Yes	5/22/2019	VALLEY NATL BK	5/23/2022	Quarterly	100.454	2.500%	GENERAL	MBS	5/22/2019	245000.00
No	2/7/2019	CITIBANK NATL ASSN	2/7/2023	Semi Annual	103.816	3.000%	GENERAL	MBS	2/7/2019	246000.00
No	5/31/2019	SILVERGATE BK LA	5/31/2023	Monthly	100.130	2.700%	GENERAL	MBS	5/31/2019	200000.00
No	1/25/2019	Security First Bank	1/25/2024	Quarterly	100.000	3.250%	GENERAL	UBS	2/1/2019	158000.00
No	2/13/2019	WELLS FARGO BK N A	2/13/2024	Monthly	104.401	3.100%	GENERAL	MBS	2/13/2019	248000.00
No	3/1/2017	Discover Bank	3/1/2021	Semi Annual	100.000	2.050%	GENERAL	UBS	3/1/2017	245000.00
No	3/30/2017	WebBank	3/30/2020	Monthly	100.000	1.850%	GENERAL FUND	UBS	3/30/2017	245000.00
No	4/11/2019	The First National Bank of Bell	4/11/2020	Annual	100.000	2.600%	General Fund	Wharton	4/11/2019	250000.00
No	4/11/2019	Industry State Bank	7/1/2020	Monthly	100.000	2.600%	General Fund	Wharton	4/11/2019	250000.00
No	4/30/2018	TOWNEBANK PORTSMOUTH	4/30/2021	Semi Annual	101.560	2.800%	GENERAL FUND	MBS	4/30/2018	247000.00
No	5/11/2016	Capital One Bank (USA), Natio	5/11/2021	Semi Annual	100.000	1.600%	GENERAL FUND	UBS	5/19/2016	113000.00
No	5/11/2016	Capital One Bank (USA), Natio	5/11/2021	Semi Annual	100.000	1.600%	GENERAL FUND	UBS	5/19/2016	132000.00
TOTAL GENERAL FUND										\$ 5,831,000.00
No	4/27/2018	UMPQUA BK ROSEBURG	10/28/2019	Semi Annual	100.031	2.350%	ROAD & BRIDGE	MBS	4/27/2018	248000.00
No	3/8/2017	Morton Community Bank	1/8/2020	Monthly	100.000	1.600%	ROAD & BRIDGE	PROSPERITY	3/8/2017	248000.00
No	4/30/2018	CHEMICAL BK MIDLAND	1/30/2020	Monthly	100.157	2.350%	ROAD & BRIDGE	MBS	4/30/2018	245000.00
No	5/11/2018	UNITED BANKERS BK	3/11/2020	Monthly	100.243	2.400%	ROAD & BRIDGE	MBS	5/11/2018	249000.00
No	6/17/2019	FIRST FED CR UN	12/17/2020	Monthly	100.734	2.400%	ROAD & BRIDGE	MBS	6/17/2019	249000.00
No	3/15/2016	TEXASGULF	3/15/2021	Monthly	100.000	2.030%	ROAD & BRIDGE	WHARTON COUNTY	3/15/2016	100000.00
No	5/13/2016	Synchrony Bank	5/13/2021	Semi Annual	100.000	1.600%	ROAD & BRIDGE	PROSPERITY PRIMA	5/13/2016	230000.00
No	5/30/2018	UNIVERSITY IOWA	5/28/2021	Monthly	101.806	2.900%	ROAD & BRIDGE	MBS	5/30/2018	249000.00
No	1/31/2019	KEESLER FED CR UN SH	1/31/2022	Monthly	102.846	3.050%	ROAD & BRIDGE	MBS	1/31/2019	249000.00
No	5/3/2018	MORGAN STANLEY BK N	5/3/2022	Semi Annual	102.885	2.950%	ROAD & BRIDGE	MBS	5/3/2018	246000.00
No	2/7/2019	MORGAN STANLEY	2/7/2023	Semi Annual	103.654	2.950%	ROAD & BRIDGE	MBS	2/7/2019	246000.00
TOTAL ROAD AND BRIDGE FUND										\$ 2,559,000.00
TOTAL INVESTMENT PORTFOLIO										\$ 9,474,000.00

Included are reports of holding by fund/dollar at maturity, maturity distribution by quarter and interest by month for the quarter. These reports are submitted in compliance with Texas Government Code, Article 2256.023 and subject to audit.

Donna Thornton
 Donna Thornton, CPA CIO

10/03/2019
 Date

County of Wharton - eConnectDirect - Account [REDACTED] County of Wharton [REDACTED]

Month Ending	Beginning Accrued	Ending Accrued	Net Accrued	Unearned Interest	Paid Interest	Interest Earned	Paid Principal
July 2019	\$30,146.97	\$38,092.62*	\$7,945.65	0	\$10,803.90	\$18,749.55	\$692,000.00
August 2019	\$38,092.62	\$32,047.77*	(\$6,044.86)	0	\$24,918.21	\$18,873.35	\$0.00
September 2019	\$32,047.77	\$36,915.90*	\$4,868.15	0	\$13,396.36	\$18,264.51	\$0.00
Report Totals:					\$49,118.47	\$55,887.41	\$692,000.00

* Indicates a user-adjusted value.

County of Wharton - eConnectDirect -

County of Wharton -

Positions maturing in Q4 2019

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	UMPQUA BK ROSEBURG	Security	90421MBZ5	2.350	04/27/2018	04/27/2018	10/28/2019	1	\$248,000	100.031	\$248,076.88	\$248,000.00
exx-xx1180	West Point Bank	Security	95536KAC2	2.350		05/09/2018	11/08/2019		\$247,000	100.000	\$247,000.00	\$247,000.00
Rxx-xx3531	CUSTOMERS BK	Security	23204HFR4	2.400	05/23/2018	05/23/2018	11/25/2019	1	\$247,000	100.071	\$247,175.37	\$247,000.00

Totals for Q4 2019

Number of Positions: 3
 Total Principal: \$742,000.00
 Average Weighted Rate: 2.367 %

Positions maturing in Q1 2020

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
exx-xx1180	Morton Community Bank	Security	619165HC0	1.600		03/08/2017	01/08/2020		\$248,000	100.000	\$248,000.00	\$248,000.00
Rxx-xx3531	CHEMICAL BK MIDLAND	Security	163681CV9	2.350	04/30/2018	04/30/2018	01/30/2020	1	\$245,000	100.157	\$245,384.65	\$245,000.00
Rxx-xx3531	UNITED BANKERS BK	Security	909557HE3	2.400	05/11/2018	05/11/2018	03/11/2020	1	\$249,000	100.243	\$249,605.07	\$249,000.00
exx-xx1180	Pinnacle Bank	Security	72345SJH5	2.450		06/14/2019	03/16/2020	1	\$245,000	100.000	\$245,000.00	\$245,000.00
exx-xx1180	WebBank	Security	947547JN6	1.850		03/30/2017	03/30/2020		\$245,000	100.000	\$245,000.00	\$245,000.00

Totals for Q1 2020

Number of Positions: 5
 Total Principal: \$1,232,000.00
 Average Weighted Rate: 2.130 %

Positions maturing in Q2 2020

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
exx-xx1180	The First National Bank of Bellville	Physical CD		2.600		04/11/2019	04/11/2020		\$250,000	100.000	\$250,000.00	\$250,000.00
Rxx-xx3531	VALLIANCE BK	Security	92023LAG8	1.350	06/09/2016	06/09/2016	06/09/2020	1	\$101,000	99.697	\$100,693.97	\$101,000.00
Rxx-xx3531	MB FINL BK N A	Security	55266CRZ1	1.350	06/10/2016	06/10/2016	06/10/2020	1	\$228,000	99.703	\$227,322.84	\$228,000.00

Positions maturing in Q2 2020

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	ALMA BK ASTORIA NEW	Security	020080AZ0	1.300	06/10/2016	06/10/2016	06/10/2020	1	\$140,000	99.655	\$139,517.00	\$140,000.00
Totals for Q2 2020											Number of Positions:	4
											Total Principal:	\$719,000.00
											Average Weighted Rate:	1.775 %

Positions maturing in Q3 2020

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
exx-xx1180	Industry State Bank	Physical CD		2.600		04/11/2019	07/11/2020		\$250,000	100.000	\$250,000.00	\$250,000.00
Rxx-xx3531	KS STATEBANK	Security	50116CAJ8	1.550	02/19/2016	02/19/2016	08/19/2020	1	\$247,000	100.203	\$247,501.41	\$247,000.00
Totals for Q3 2020											Number of Positions:	2
											Total Principal:	\$497,000.00
											Average Weighted Rate:	2.078 %

Positions maturing in Q4 2020

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
exx-xx1180	Goldman Sachs Bank USA	Security	38148J3E9	2.300		12/03/2015	11/25/2020		\$235,000	100.000	\$235,000.00	\$235,000.00
Rxx-xx3531	LIVE OAK BKG CO	Security	538036CE2	1.600	02/10/2016	02/10/2016	12/10/2020	1	\$247,000	100.407	\$248,005.29	\$247,000.00
Rxx-xx3531	FIRST FED CR UN	Security	32024JAF0	2.400	06/17/2019	06/17/2019	12/17/2020	1	\$249,000	100.734	\$250,827.66	\$249,000.00
Totals for Q4 2020											Number of Positions:	3
											Total Principal:	\$731,000.00
											Average Weighted Rate:	2.098 %

Positions maturing in Q1 2021

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	FIRST TECHNOLOGY FED	Security	33715LDQ0	3.050	02/06/2019	02/06/2019	02/08/2021	1	\$249,000	101.682	\$253,188.18	\$249,000.00
Rxx-xx3531	EVERBANK	Security	29976DJ92	1.700	02/12/2016	02/12/2016	02/12/2021	1	\$247,000	99.880	\$246,703.60	\$247,000.00
Rxx-xx3531	ENTERPRISE BK	Security	29367RHM1	1.650	02/12/2016	02/12/2016	02/12/2021	1	\$247,000	99.814	\$246,540.58	\$247,000.00
exx-xx1180	Discover Bank	Security	2546723L0	2.050		03/01/2017	03/01/2021		\$245,000	100.000	\$245,000.00	\$245,000.00

Positions maturing in Q1 2021

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
exx-xx1180	TEXASGULF	Physical CD		2.030		03/15/2016	03/15/2021		\$150,000	100.000	\$150,000.00	\$150,000.00
exx-xx1180	TEXASGULF	Physical CD		2.030		03/15/2016	03/15/2021		\$100,000	100.000	\$100,000.00	\$100,000.00

Totals for Q1 2021
 Number of Positions: 6
 Total Principal: \$1,238,000.00
 Average Weighted Rate: 2.097 %

Positions maturing in Q2 2021

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	TOWNEBANK PORTSMOUTH	Security	89214PBL2	2.800	04/30/2018	04/30/2018	04/30/2021	1	\$247,000	101.560	\$250,853.20	\$247,000.00
exx-xx1180	Capital One Bank (USA), National Association	Security	140420ZC7	1.600		05/19/2016	05/11/2021		\$132,000	100.000	\$132,000.00	\$132,000.00
exx-xx1180	Capital One Bank (USA), National Association	Security	140420ZC7	1.600		05/19/2016	05/11/2021		\$113,000	100.000	\$113,000.00	\$113,000.00
exx-xx1180	Synchrony Bank	Security	87164WMF2	1.600		05/13/2016	05/13/2021		\$230,000	100.000	\$230,000.00	\$230,000.00
Rxx-xx3531	UNIVERSITY IOWA	Security	91435LAJ6	2.900	05/30/2018	05/30/2018	05/28/2021	1	\$249,000	101.806	\$253,496.94	\$249,000.00
Rxx-xx3531	JPMORGAN CHASE BK NA	Security	48125Y4L5	1.700	06/17/2016	06/17/2016	06/17/2021	1	\$100,000	99.690	\$99,690.00	\$100,000.00

Totals for Q2 2021
 Number of Positions: 6
 Total Principal: \$1,071,000.00
 Average Weighted Rate: 2.188 %

Positions maturing in Q4 2021

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	MARLIN BUSINESS BK	Security	57116ASL3	2.450	06/13/2019	05/07/2019	11/08/2021	1	\$75,000	101.337	\$76,002.75	\$75,000.00

Totals for Q4 2021
 Number of Positions: 1
 Total Principal: \$75,000.00
 Average Weighted Rate: 2.450 %

Positions maturing in Q1 2022

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	KEESLER FED CR UN SH	Security	49254FAG1	3.050	01/31/2019	01/31/2019	01/31/2022	1	\$249,000	102.846	\$256,086.54	\$249,000.00
Rxx-xx3531	ALLY BK SANDY UTAH	Security	02007GHK2	2.850	02/07/2019	02/07/2019	02/07/2022	1	\$246,000	102.404	\$251,913.84	\$246,000.00
Rxx-xx3531	BMO HARRIS BK NATL	Security	05581WMV4	2.000	02/28/2017	02/28/2017	02/28/2022	1	\$62,000	99.969	\$61,980.78	\$62,000.00
Rxx-xx3531	BMW BK NORTH AMER	Security	05580AHB3	2.250	06/14/2019	03/24/2017	03/24/2022	1	\$150,000	101.080	\$151,620.00	\$150,000.00
exx-xx1180	The First National Bank of Berlin	Security	32117HAS4	2.200		05/08/2017	03/30/2022		\$176,000	100.000	\$176,000.00	\$176,000.00

Totals for Q1 2022

Number of Positions: 5
 Total Principal: \$883,000.00
 Average Weighted Rate: 2.615 %

Positions maturing in Q2 2022

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	MORGAN STANLEY BK N	Security	61747MW72	2.950	05/03/2018	05/03/2018	05/03/2022	1	\$246,000	102.885	\$253,097.10	\$246,000.00
exx-xx1180	Comenity Bank	Physical CD		2.900		05/03/2018	05/03/2022		\$200,000	100.000	\$200,000.00	\$200,000.00
Rxx-xx3531	CAPITAL ONE NATL	Security	14042RFS5	2.400	05/17/2017	05/17/2017	05/17/2022	1	\$250,000	101.521	\$253,802.50	\$250,000.00
Rxx-xx3531	VALLEY NATL BK	Security	919853DT5	2.500	05/30/2019	05/22/2019	05/23/2022	1	\$245,000	100.454	\$246,112.30	\$245,000.00
Rxx-xx3531	ENVISION CR UN	Security	29415AAC9	2.550	06/07/2019	06/07/2019	06/07/2022	1	\$247,000	100.520	\$248,284.40	\$247,000.00

Totals for Q2 2022

Number of Positions: 5
 Total Principal: \$1,188,000.00
 Average Weighted Rate: 2.650 %

Positions maturing in Q1 2023

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	MORGAN STANLEY	Security	61760AVQ9	2.950	02/07/2019	02/07/2019	02/07/2023	1	\$246,000	103.654	\$254,988.84	\$246,000.00
Rxx-xx3531	CITIBANK NATL ASSN	Security	17312Q2L2	3.000	02/07/2019	02/07/2019	02/07/2023	1	\$246,000	103.816	\$255,387.36	\$246,000.00

Totals for Q1 2023

Number of Positions: 2
 Total Principal: \$492,000.00
 Average Weighted Rate: 2.975 %

Positions maturing in Q2 2023

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	SILVERGATE BK LA	Security	828373GG9	2.700	05/31/2019	05/31/2019	05/31/2023	1	\$200,000	100.130	\$200,260.00	\$200,000.00

Totals for Q2 2023
 Number of Positions: 1
 Total Principal: \$200,000.00
 Average Weighted Rate: 2.700 %

Positions maturing in Q1 2024

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
exx-xx1180	Security First Bank	Security	81425PAV3	3.250		02/01/2019	01/25/2024		\$158,000	100.000	\$158,000.00	\$158,000.00
Rxx-xx3531	WELLS FARGO BK N A	Security	949763XQ4	3.100	02/13/2019	02/13/2019	02/13/2024	1	\$248,000	104.401	\$258,914.48	\$248,000.00

Totals for Q1 2024
 Number of Positions: 2
 Total Principal: \$406,000.00
 Average Weighted Rate: 3.158 %

Report Totals
 Number of Positions: 45
 Total Principal: \$9,474,000.00
 Average Weighted Rate: 2.33