

**WHARTON COUNTY TREASURER
FOURTH QUARTER INVESTMENT REPORT
DECEMBER.31, 2020**



Issue Date	Issuer	Maturity Date	Payment Frequency	Price	Rate	Fund	SafeKeep Location	Settle Date	Trade Amount
3/15/2016	TEXASGULF	3/15/2021	Monthly	100.000	2.030%	DRAINAGE	TREASURER	3/15/2016	150000.00
3/24/2017	BMW BK NORTH AMER	3/24/2022	Semi Annual	102.668	2.250%	DRAINAGE	MBS	3/24/2017	150000.00
TOTAL DRAINAGE FUND									\$ 300,000.00
2/6/2019	FIRST TECHNOLOGY FED	2/8/2021	Monthly	100.283	3.050%	GENERAL	MBS	2/6/2019	249000.00
2/12/2016	ENTERPRISE BK	2/12/2021	Monthly	100.168	1.650%	GENERAL	MBS	2/12/2016	247000.00
2/12/2016	EVERBANK	2/12/2021	Semi Annual	100.178	1.700%	GENERAL	MBS	2/12/2016	247000.00
5/3/2018	COMENITY BANK	5/3/2021	Semi Annual	100.000	2.900%	GENERAL	UBS	5/3/2018	200000.00
2/7/2019	ALLY BK SANDY UTAH	2/7/2022	Semi Annual	103.059	2.850%	GENERAL	MBS	2/7/2019	246000.00
5/17/2017	CAPITAL ONE NATL	5/17/2022	Semi Annual	103.189	2.400%	GENERAL	MBS	5/17/2017	250000.00
2/7/2019	CITIBANK NATL ASSN	2/7/2023	Semi Annual	106.036	3.000%	GENERAL	MBS	2/7/2019	246000.00
2/13/2019	WELLS FARGO BK N A	2/13/2024	Monthly	108.951	3.100%	GENERAL	MBS	2/13/2019	248000.00
3/1/2017	Discover Bank	3/1/2021	Semi Annual	100.000	2.050%	GENERAL	UBS	3/1/2017	245000.00
4/30/2018	TOWNEBANK PORTSMOUTH	4/30/2021	Semi Annual	100.889	2.800%	GENERAL	MBS	4/30/2018	247000.00
5/11/2016	Capital One Bank (USA), National Association	5/11/2021	Semi Annual	100.000	1.600%	GENERAL	UBS	5/19/2016	132000.00
5/11/2016	Capital One Bank (USA), National Association	5/11/2021	Semi Annual	100.000	1.600%	GENERAL	UBS	5/19/2016	113000.00
5/7/2019	MARLIN BUSINESS BK	11/8/2021	Monthly	102.037	2.450%	GENERAL	MBS	5/7/2019	75000.00
2/21/2020	WELLS FARGO NATIONAL BANK WEST	2/21/2023	Monthly	100.000	1.750%	GENERAL	UBS	2/21/2020	245000.00
2/26/2020	UBS Bank USA	2/27/2023	Monthly	100.000	1.750%	GENERAL	UBS	2/26/2020	245000.00
TOTAL GENERAL FUND									\$3,235,000.00
3/15/2016	TEXASGULF	3/15/2021	Monthly	100.000	2.030%	ROAD & BRIDGE	TREASURER	3/15/2016	100000.00
5/13/2016	Synchrony Bank	5/13/2021	Semi Annual	100.000	1.600%	ROAD & BRIDGE	PROSPERITY	5/13/2016	230000.00
5/30/2018	UNIVERSITY IOWA	5/28/2021	Monthly	101.152	2.900%	ROAD & BRIDGE	MBS	5/30/2018	249000.00
1/31/2019	KEESLER FED CR UN	1/31/2022	Monthly	103.221	3.050%	ROAD & BRIDGE	MBS	1/31/2019	249000.00
5/3/2018	MORGAN STANLEY BK N	5/3/2022	Semi Annual	103.833	2.950%	ROAD & BRIDGE	MBS	5/3/2018	246000.00
2/7/2019	MORGAN STANLEY	2/7/2023	Semi Annual	105.932	2.950%	ROAD & BRIDGE	MBS	2/7/2019	246000.00
2/7/2020	BMW BK NORTH AMER	2/7/2023	Semi Annual	103.213	1.650%	ROAD & BRIDGE	MBS	2/7/2020	97000.00
TOTA ROAD & BRIDGE FUND									\$1,417,000.00
TOTAL BROKERAGE HOLDINGS AT PURCHASE PRICE									\$4,952,000.00

Included are reports of holdings by fund/dollar at maturity, maturity distribution by quarter and interest by month for the quarter. These reports are submitted in compliance with Texas Government Code, Article 2256.023.

Donna Thornton
Donna Thornton, County Treasurer

1-16-2021
Date

APPROVED BY WHARTON COUNTY
COMMISSIONERS COURT IN
SESSION ON 01-25-2021

County of Wharton - eConnectDirect - Account # [REDACTED] County of Wharton - Account # [REDACTED]

Positions maturing in Q1 2021

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	FIRST TECHNOLOGY FED	Security	33715LDQ0	3.050	02/06/2019	02/06/2019	02/08/2021	1	\$249,000	100.200	\$249,498.00	\$249,000.00
Rxx-xx3531	EVERBANK	Security	29976DJ92	1.700	02/12/2016	02/12/2016	02/12/2021	1	\$247,000	100.129	\$247,318.63	\$247,000.00
Rxx-xx3531	ENTERPRISE BK	Security	29367RHM1	1.650	02/12/2016	02/12/2016	02/12/2021	1	\$247,000	100.125	\$247,308.75	\$247,000.00
exx-xx1180	Discover Bank	Security	2546723L0	2.050		03/01/2017	03/01/2021		\$245,000	100.000	\$245,000.00	\$245,000.00
exx-xx1180	TEXASGULF	Physical CD		2.030		03/15/2016	03/15/2021		\$150,000	100.000	\$150,000.00	\$150,000.00
exx-xx1180	TEXASGULF	Physical CD		2.030		03/15/2016	03/15/2021		\$100,000	100.000	\$100,000.00	\$100,000.00

Totals for Q1 2021
 Number of Positions: 6
 Total Principal: \$1,238,000.00
 Average Weighted Rate: 2.097%

Positions maturing in Q2 2021

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	TOWNEBANK PORTSMOUTH	Security	89214PBL2	2.800	04/30/2018	04/30/2018	04/30/2021	1	\$247,000	100.804	\$248,985.88	\$247,000.00
exx-xx1180	COMENITY BANK	Physical CD		2.900		05/03/2018	05/03/2021	1	\$200,000	100.000	\$200,000.00	\$200,000.00
exx-xx1180	Capital One Bank (USA), National Association	Security	140420ZC7	1.600		05/19/2016	05/11/2021		\$132,000	100.000	\$132,000.00	\$132,000.00
exx-xx1180	Capital One Bank (USA), National Association	Security	140420ZC7	1.600		05/19/2016	05/11/2021		\$113,000	100.000	\$113,000.00	\$113,000.00
exx-xx1180	Synchrony Bank	Security	87164WMF2	1.600		05/13/2016	05/13/2021		\$230,000	100.000	\$230,000.00	\$230,000.00
Rxx-xx3531	UNIVERSITY IOWA	Security	91435LAJ6	2.900	05/30/2018	05/30/2018	05/28/2021	1	\$249,000	101.065	\$251,651.85	\$249,000.00

Totals for Q2 2021
 Number of Positions: 6
 Total Principal: \$1,171,000.00
 Average Weighted Rate: 2.352%

Positions maturing in Q4 2021

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	MARLIN BUSINESS BK	Security	57116ASL3	2.450	06/13/2019	05/07/2019	11/08/2021	1	\$75,000	101.963	\$76,472.25	\$75,000.00
Totals for Q4 2021											Number of Positions:	1
											Total Principal:	\$75,000.00
											Average Weighted Rate:	2.450%

Positions maturing in Q1 2022

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	KEESLER FED CR UN	Security	49254FAG1	3.050	01/31/2019	01/31/2019	01/31/2022	1	\$249,000	103.123	\$256,776.27	\$249,000.00
Rxx-xx3531	ALLY BK SANDY UTAH	Security	02007GHK2	2.850	02/07/2019	02/07/2019	02/07/2022	1	\$246,000	102.967	\$253,298.82	\$246,000.00
Rxx-xx3531	BMW BK NORTH AMER	Security	05580AHB3	2.250	06/14/2019	03/24/2017	03/24/2022	1	\$150,000	102.596	\$153,894.00	\$150,000.00
Totals for Q1 2022											Number of Positions:	3
											Total Principal:	\$645,000.00
											Average Weighted Rate:	2.788%

Positions maturing in Q2 2022

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	MORGAN STANLEY BK N	Security	61747MW72	2.950	05/03/2018	05/03/2018	05/03/2022	1	\$246,000	103.741	\$255,202.86	\$246,000.00
Rxx-xx3531	CAPITAL ONE NATL	Security	14042RFS5	2.400	05/17/2017	05/17/2017	05/17/2022	1	\$250,000	103.114	\$257,785.00	\$250,000.00
Totals for Q2 2022											Number of Positions:	2
											Total Principal:	\$496,000.00
											Average Weighted Rate:	2.673%

Positions maturing in Q1 2023

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	MORGAN STANLEY	Security	61760AVQ9	2.950	02/07/2019	02/07/2019	02/07/2023	1	\$246,000	105.858	\$260,410.68	\$246,000.00
Rxx-xx3531	CITIBANK NATL ASSN	Security	17312Q2L2	3.000	02/07/2019	02/07/2019	02/07/2023	1	\$246,000	105.961	\$260,664.06	\$246,000.00
Rxx-xx3531	BMW BK NORTH AMER	Security	05580AUR3	1.650	02/20/2020	02/07/2020	02/07/2023	1	\$97,000	103.179	\$100,083.63	\$97,000.00

Positions maturing in Q1 2023

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
exx-xx1180	WELLS FARGO NATIONAL BANK WEST	Security	949495BC8	1.750		02/21/2020	02/21/2023	1	\$245,000	100.000	\$245,000.00	\$245,000.00
exx-xx1180	UBS Bank USA	Security	90348JSR2	1.750		02/26/2020	02/27/2023	1	\$245,000	100.000	\$245,000.00	\$245,000.00

Totals for Q1 2023
 Number of Positions: 5
 Total Principal: \$1,079,000.00
 Average Weighted Rate: 2.300%

Positions maturing in Q1 2024

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	WELLS FARGO BK N A	Security	949763XQ4	3.100	02/13/2019	02/13/2019	02/13/2024	1	\$248,000	108.885	\$270,034.80	\$248,000.00

Totals for Q1 2024
 Number of Positions: 1
 Total Principal: \$248,000.00
 Average Weighted Rate: 3.100%

Report Totals
 Number of Positions: 24
 Total Principal: \$4,952,000.00
 Average Weighted Rate: 2.40

County of Wharton - eConnectDirect - Account [REDACTED], County of Wharton - [REDACTED]

Month Ending	Beginning Accrued	Ending Accrued	Net Accrued	Unearned Interest	Paid Interest	Interest Earned	Paid Principal
October 2020	\$22,793.54	\$22,914.53*	\$120.99	0	\$11,293.96	\$11,414.96	\$0.00
November 2020	\$22,914.53	\$15,613.37*	(\$7,301.16)	0	\$18,273.77	\$10,972.61	\$235,000.00
December 2020	\$15,613.37	\$21,069.49*	\$5,456.11	0	\$5,015.99	\$10,472.11	\$496,000.00
Report Totals:					\$34,583.72	\$32,859.68	\$731,000.00

* Indicates a user-adjusted value.

eConnectDirect

County of Wharton - eConnectDirect - Account [redacted] County of Wharton - Account [redacted]
 xx3531

Interest For December 2020

Issuer (Position Notes)	CUSIP	Maturity	Principal	Beginning Accrued	Ending Accrued	Net Accrued	Paid Interest	Pay D
ALLY BK SANDY UTAH	02007GHK2	2/7/2022	\$246,000	\$2,228.15	\$2,823.61	\$595.46	\$0.00	
BMW BK NORTH AMER	05580AHB3	3/24/2022	\$150,000	\$628.77	\$915.41	\$286.64	\$0.00	
BMW BK NORTH AMER	05580AUR3	2/7/2023	\$97,000	\$508.65	\$644.58	\$135.93	\$0.00	
Capital One Bank (USA), National Association	140420ZC7	5/11/2021	\$131,340	\$109.39	\$287.87	\$178.48	\$0.00	
Capital One Bank (USA), National Association	140420ZC7	5/11/2021	\$113,000	\$99.07	\$252.62	\$153.55	\$0.00	
CAPITAL ONE NATL	14042RFS5	5/17/2022	\$250,000	\$230.14	\$739.73	\$509.59	\$0.00	
CITIBANK NATL ASSN	17312Q2L2	2/7/2023	\$246,000	\$2,345.42	\$2,972.22	\$626.80	\$0.00	
COMENITY BANK		5/3/2021	\$200,000	\$508.49	\$1,001.10	\$492.61	\$0.00	
Discover Bank	2546723L0	3/1/2021	\$245,000	\$1,252.18	\$1,678.75	\$426.57	\$0.00	
ENTERPRISE BK	29367RHM1	2/12/2021	\$247,000	\$212.15	\$223.32	\$11.17	\$334.97	12/1
EVERBANK	29976DJ92	2/12/2021	\$247,000	\$1,276.96	\$1,633.58	\$356.62	\$0.00	
FIRST FED CR UN	32024JAF0	12/17/2020	\$249,000	\$229.22	\$0.00	(\$229.22)	\$491.18	12/1
FIRST TECHNOLOGY FED	33715LDQ0	2/8/2021	\$249,000	\$520.17	\$540.98	\$20.81	\$624.21	12/1
KEESLER FED CR UN	49254FAG1	1/31/2022	\$249,000	\$20.81	\$20.81	\$0.00	\$645.01	12/3
LIVE OAK BKG CO	538036CE2	12/10/2020	\$247,000	\$324.82	\$0.00	(\$324.82)	\$422.27	12/11
MARLIN BUSINESS BK	57116ASL3	11/8/2021*	\$75,000	\$120.82	\$125.86	\$5.04	\$151.03	12/1
MORGAN STANLEY	61760AVQ9	2/7/2023	\$246,000	\$2,306.33	\$2,922.68	\$616.35	\$0.00	
MORGAN STANLEY BK N	61747MW72	5/3/2022	\$246,000	\$556.70	\$1,173.05	\$616.35	\$0.00	
Synchrony Bank	87164WMF2	5/13/2021	\$230,000	\$171.40	\$483.95	\$312.55	\$0.00	
TEXASGULF		3/15/2021	\$150,000	\$125.14	\$133.48	\$8.34	\$250.27	12/1
TEXASGULF		3/15/2021	\$100,000	\$83.42	\$88.99	\$5.56	\$166.85	12/1
TOWNEBANK PORTSMOUTH	89214PBL2	4/30/2021	\$247,000	\$606.33	\$1,193.72	\$587.39	\$0.00	
UBS Bank USA	90348JSR2	2/27/2023	\$245,000	\$58.73	\$70.48	\$11.75	\$352.40	12/2
UNIVERSITY IOWA	91435LAJ6	5/28/2021	\$249,000	\$593.51	\$613.29	\$19.78	\$593.51	12/1
WELLS FARGO BK N A	949763XQ4	2/13/2024	\$248,000	\$379.13	\$400.20	\$21.07	\$631.89	12/1
WELLS FARGO NATIONAL BANK WEST	949495BC8	2/21/2023	\$245,000	\$117.47	\$129.21	\$11.74	\$352.40	12/2

* Indicates a user-adjusted value.

Totals:

# Positions	Principal	Beginning Accrued	Ending Accrued	Net Accrued	Paid Interest	Num Pa
26	\$5,447,340	\$15,613.37	\$21,069.49	\$5,456.11	\$5,015.99	

* Indicates a user-adjusted value.