

**WHARTON COUNTY TREASURER
THIRD QUARTER INVESTMENT REPORT
SEPTEMBER 30, 2021**



Issue Date	Issuer	Maturity Date	Payment Frequency	Price	Rate	Fund	Brokerage House	Settle Date	Trade Amount
3/24/2017	BMW BK NORTH AMER	3/24/2022	Semi Annual	101.609	2.250%	DRAINAGE	MBS	3/24/2017	150000.00
TOTAL FMLR FUND									<u>150000.00</u>
5/7/2019	MARLIN BUSINESS BK	11/8/2021	Monthly	100.840	2.450%	GENERAL	MBS	5/7/2019	75000.00
2/7/2019	ALLY BK SANDY UTAH	2/7/2022	Semi Annual	101.678	2.850%	GENERAL	MBS	2/7/2019	246000.00
5/17/2017	CAPITAL ONE NATL	5/17/2022	Semi Annual	102.050	2.400%	GENERAL	MBS	5/17/2017	250000.00
2/7/2019	CITIBANK NATL ASSN	2/7/2023	Semi Annual	104.494	3.000%	GENERAL	MBS	2/7/2019	246000.00
2/21/2020	-WELLS FARGO NATIONAL BANK WEST	2/21/2023	Monthly	100.000	1.750%	GENERAL	UBS	2/21/2020	245000.00
2/26/2020	UBS Bank USA	2/27/2023	Monthly	100.000	1.750%	GENERAL	UBS	2/26/2020	245000.00
5/3/2021	THE FIRST NB OF BELLVILLE	5/3/2023	Annual	100.000	0.870%	GENERAL	COUNTY	5/3/2021	248000.00
5/5/2021	INDUSTRY STATE BANK	5/4/2023	Annual	100.000	0.870%	GENERAL	COUNTY	5/5/2021	248000.00
2/13/2019	WELLS FARGO BK N A	2/13/2024	Monthly	107.187	3.100%	GENERAL	MBS	2/13/2019	248000.00
5/4/2021	TEXASGULF	10/3/2022	Monthly	100.000	0.700%	GENERAL	COUNTY	5/4/2021	250000.00
TOTAL GENERAL FUND									<u>\$ 2,301,000.00</u>
1/31/2019	KEESLER FED CR UN	1/31/2022	Monthly	101.740	3.050%	ROAD & BRIDGE	MBS	1/31/2019	249000.00
5/3/2018	MORGAN STANLEY BK N	5/3/2022	Semi Annual	102.429	2.950%	ROAD & BRIDGE	MBS	5/3/2018	246000.00
2/7/2019	MORGAN STANLEY	2/7/2023	Semi Annual	104.414	2.950%	ROAD & BRIDGE	MBS	2/7/2019	246000.00
2/7/2020	BMW BK NORTH AMER	2/7/2023	Semi Annual	102.338	1.650%	ROAD & BRIDGE	MBS	2/7/2020	97000.00
TOTAL ROAD & BRIDGE FUND									<u>\$ 838,000.00</u>
TOTAL BROKERAGE HOLDINGS AT PURCHASE PRICE									<u>\$ 3,289,000.00</u>

Included are reports of holdings by fund/dollar at maturity, maturity distribution by quarter and interest by month for the quarter. These reports are submitted in compliance with Texas Government Code, Article 2256.023.

Donna Thornton

Donna Thornton, County Treasurer

10-05-2021

Date

Maturity Distribution

Positions maturing between: 7/1/2021 and 12/31/2024

County of Wharton - eConnectDirect - Account exx-x [redacted] County of Wharton - Account Rxx-x [redacted]

Positions maturing in Q4 2021

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	MARLIN BUSINESS BK	Security	57116ASL3	2.450	06/13/2019	05/07/2019	11/08/2021	1	\$75,000	100.224	\$75,168.00	\$75,000.00
Totals for Q4 2021											Number of Positions:	1
											Total Principal:	\$75,000.00
											Average Weighted Rate:	2.450%

Positions maturing in Q1 2022

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	KEESLER FED CR UN	Security	49254FAG1	3.050	01/31/2019	01/31/2019	01/31/2022	1	\$249,000	100.959	\$251,387.91	\$249,000.00
Rxx-xx3531	ALLY BK SANDY UTAH	Security	02007GHK2	2.850	02/07/2019	02/07/2019	02/07/2022	1	\$246,000	100.962	\$248,366.52	\$246,000.00
Rxx-xx3531	BMW BK NORTH AMER	Security	05580AHB3	2.250	06/14/2019	03/24/2017	03/24/2022	1	\$150,000	101.021	\$151,531.50	\$150,000.00
Totals for Q1 2022											Number of Positions:	3
											Total Principal:	\$645,000.00
											Average Weighted Rate:	2.788%

Positions maturing in Q2 2022

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	MORGAN STANLEY BK N	Security	61747MW72	2.950	05/03/2018	05/03/2018	05/03/2022	1	\$246,000	101.669	\$250,105.74	\$246,000.00
Rxx-xx3531	CAPITAL ONE NATL	Security	14042RES5	2.400	05/17/2017	05/17/2017	05/17/2022	1	\$250,000	101.441	\$253,602.50	\$250,000.00
Totals for Q2 2022											Number of Positions:	2
											Total Principal:	\$496,000.00
											Average Weighted Rate:	2.673%

Positions maturing in Q4 2022

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
exx-xx1180	TEXASGULF	Physical CD		0.700		05/04/2021	10/03/2022	1	\$250,000	100.000	\$250,000.00	\$250,000.00
Totals for Q4 2022											Number of Positions:	1
											Total Principal:	\$250,000.00
											Average Weighted Rate:	0.700%

Positions maturing in Q1 2023

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	MORGAN STANLEY	Security	61760AVQ9	2.950	02/07/2019	02/07/2019	02/07/2023	1	\$246,000	103.679	\$255,050.34	\$246,000.00
Rxx-xx3531	CITIBANK NATL ASSN	Security	17312Q2L2	3.000	02/07/2019	02/07/2019	02/07/2023	1	\$246,000	103.746	\$255,215.16	\$246,000.00
Rxx-xx3531	BMW BK NORTH AMER	Security	05580AUR3	1.650	02/20/2020	02/07/2020	02/07/2023	1	\$97,000	101.927	\$98,869.19	\$97,000.00
exx-xx1180	WELLS FARGO NATIONAL BANK WEST	Security	949495BC8	1.750		02/21/2020	02/21/2023	1	\$245,000	100.000	\$245,000.00	\$245,000.00
exx-xx1180	UBS Bank USA	Security	90348JSR2	1.750		02/26/2020	02/27/2023	1	\$245,000	100.000	\$245,000.00	\$245,000.00

Totals for Q1 2023
 Number of Positions: 5
 Total Principal: \$1,079,000.00
 Average Weighted Rate: 2.300%

Positions maturing in Q2 2023

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
exx-xx1180	THE FIRST NB OF BELLVILLE	Physical CD		0.870		05/03/2021	05/03/2023	1	\$248,000	100.000	\$248,000.00	\$248,000.00
exx-xx1180	INDUSTRY STATE BANK	Physical CD		0.870		05/05/2021	05/04/2023	1	\$248,000	100.000	\$248,000.00	\$248,000.00

Totals for Q2 2023
 Number of Positions: 2
 Total Principal: \$496,000.00
 Average Weighted Rate: 0.870%

Positions maturing in Q1 2024

Account Number	Description (Position Notes)	Type	CUSIP	Rate	Trade Date	Settle Date	Maturity Date	Current Factor	Current Amount	Unit Price	Valuation	Principal
Rxx-xx3531	WELLS FARGO BK N A	Security	949763XQ4	3.100	02/13/2019	02/13/2019	02/13/2024	1	\$248,000	106.144	\$263,237.12	\$248,000.00

Totals for Q1 2024
 Number of Positions: 1
 Total Principal: \$248,000.00
 Average Weighted Rate: 3.100%

Report Totals
 Number of Positions: 15
 Total Principal: \$3,289,000.00
 Average Weighted Rate: 2.18